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PATENT  
2450001-2000.2IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Gary KATZ and Greg MAYNARD

Serial No. : 10/771,993

For : AUTOMATED EXCHANGE FOR TRADING OF  
COMPLEX ORDERS

Filed : February 3, 2004

Examiner : TBA

Art Unit : 3622

745 Fifth Avenue  
New York, NY 10151

I hereby certify that this correspondence is being deposited with  
the United States Postal Service as first class mail in an envelope  
addressed to: Commissioner for Patents, P.O. Box 1450,  
Alexandria, VA 22313-1450, on October 12, 2004.

Stephen J. Lieb (Reg. No. 41,943)

\_\_\_\_\_  
Name of Applicant, Assignee or Registered Representative

Signature

\_\_\_\_\_  
October 12, 2004INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR §§1.56, 1.97 and 1.98, Applicants hereby make of record the document cited in the enclosed copy of form PTO 1449. A copy of the document cited is enclosed.

00222967

Applicants state that no item of information contained in this information disclosure statement ("IDS") was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in §1.56(c) more than three months prior to the filing of the IDS.

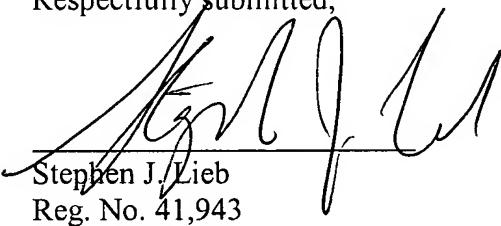
Applicants believe no additional fee is required. Should any additional fee be required for this IDS, Applicants authorize the Assistant Commissioner to charge such fee, or credit any overpayment, to Deposit Account 50-0320.

Enclosed is a duplicate of form PTO-1449. Applicants request that the Examiner initial and date the form and return one copy to Applicants.

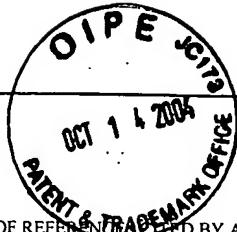
Early consideration of this Information Disclosure Statement is earnestly solicited.

Respectfully submitted,

By:

  
Stephen J. Lieb  
Reg. No. 41,943  
Attorney for Applicants  
FROMMER LAWRENCE & HAUG LLP  
745 Fifth Avenue  
New York, New York 10151  
Tel. No.: (212) 588-0800  
Fax No.: (212) 588-0500

Based on Form PTO-1449  
(3/90)



LIST OF REFERENCES CITED BY APPLICANT  
(Use several sheets if necessary)

ATTY. DOCKET NO.

245001-2000.2

SERIAL NO.

10/771,993

APPLICANT

Gary KATZ and Greg MAYNARD

FILING DATE

2/03/04

GROUP

3622

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	U.S. 5,126,936	6/30/92	Champion et al.			
	AB						
	AC						
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## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL							
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	AN							
	AO							
	AP							

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER	DATE CONSIDERED
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\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<p>Based on Form PTO-1449 (3/90)</p> <p>OCT 14 2005 U.S. PATENT &amp; TRADEMARK OFFICE LIST OF REFERENCES MENTIONED BY APPLICANT (Use several sheets if necessary)</p>				ATTY. DOCKET NO.	SERIAL NO.					
				245001-2000.2			10/771,993			
				APPLICANT				Gary KATZ and Greg MAYNARD		
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